

APPROVED BY

By the decision of the Staff and Remuneration
Committee

E-Finance Center JSC

dated May "16" 2023

(Minutes No. 5)

APPROVED

by decision of the Board of Directors

E-Finance Center JSC

dated June "13" 2023

(Minutes No. 9)

AMENDMENTS AND ADDITIONS

No.

1

Date

13.06.2023

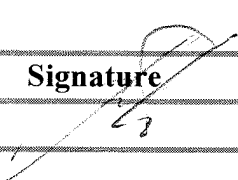
GENERAL INFORMATION

Document index	Document name	Date of
EFC JSC	Regulations on the Compliance Manager of E-Finance Center JSC	Decision of the Board of Directors dated 17.04.2023 (Minutes No. 6)

CONTENT OF AMENDMENTS No.1

Supplemental section, table	Addendum text
section 1	Add the following fourteenth paragraph: "authorized anti-corruption body - the state body performing the formation and implementation of anti-corruption policy of the Republic of Kazakhstan and coordination in anti-corruption, and prevention, detection, suppression, disclosure and investigation of corruption offenses, and its territorial divisions."
item 15 section 6	Add subitem 40) as follows: "40) interaction with the authorized anti-corruption and state bodies, subjects of the quasi-public sector, public associations, and other individuals and legal entities."
item 16 section 7	Add subitem 7) as follows: "7) in performing anti-corruption compliance functions, sign documents and requests sent to other structural subdivisions of the Company."

Drafted by:

FULL NAME	Position	Signature
Sh.K. Turash.	Compliance Manager	

CONTENT OF AMENDMENTS No.1	
item 17 section 7	<p>Add subitems 6), 7), 8) as follows:</p> <p>"6) send quarterly information on anti-corruption measures adopted in the Company to the authorized anti-corruption body. At the request of the authorized anti-corruption body, additional information on the anti-corruption measures adopted by the Company must be forwarded;</p> <p>7) inform the authorized body for counteracting corruption about corruption offenses under preparation, being committed, or committed that have become known;</p> <p>8) in the event of possible corruption offenses on the part of the Chairman of the Management Board of the Company apply to the authorized state bodies in accordance with paragraph 1 of Article 24 of the Law.".</p>
section 8	<p>Add item 24) as follows:</p> <p>"24. A compliance manager must constantly improve his professional qualifications by participating in training events held by authorized state bodies and professional organizations for compliance.".</p>
section 9	<p>Add items 28, 29 as follows:</p> <p>"28. In the event that the Law or international anti-corruption standards establish requirements and approaches to the organization of anti-corruption compliance that are not provided for in Regulations, such requirements and approaches shall be approved by the Board of Directors or the Management Board of the Company.</p> <p>29. The Regulation shall be published on the Company's corporate website and notified to all employees.".</p>

Drafted by:

FULL NAME	Position	Signature
Sh.K. Turash.	Compliance Manager	